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PTO/SB/50 (02-01)

Approved for use through 01/31/2004 OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Reissue  
Washington, DC 20231

Attorney Docket No.	650005.94380
First Named Inventor	Bruce R. Kern
Original Patent Number	6,014,828
Original Patent Issue Date (Month/Day/Year)	January 18, 2000
Express Mail Label No.	EL 743811393 US

## APPLICATION FOR REISSUE OF:

(Check applicable box)



Utility Patent



Design Patent



Plant Patent

## APPLICATION ELEMENTS (37 CFR 1.173)

1. ☒ Fee Transmittal Form (PTO/SB/ 56)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification and Claims in double column copy of patent  
format (amended, if appropriate)
4. ☒ Drawing(s) (proposed amendments, if appropriate)
5. ☒ Reissue Oath/Declaration (original or copy)  
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
6. ☐ Power of Attorney
7. Original U.S. Patent currently assigned? ☒ Yes ☐ No  
(If Yes, check applicable box(es))
  - ☒ Written Consent of all Assignees (PTO/SB/53)
  - ☒ 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)
8. ☐ CD-ROM or CD-R in duplicate, Computer Program (Appendix)  
or large table
9. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all of the following are necessary)
  - a. ☐ Computer Readable Form (CFR)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM (2 copies) or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

10. ☐ Statement of status and support for all changes  
to the claims. See 37 CFR 1.173 (c).
11. ☐ Original U.S. Patent for surrender
  - ☐ Ribboned Original Patent Grant
  - ☐ Statement of Loss (PTO/SB/55)
12. ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
14. ☐ English Translation of Reissue Oath/Declaration  
(if applicable)
15. ☒ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
17. Other: OFFER TO SURRENDER.....  
.....  
.....

## 18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

or ☐

Correspondence address below

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26710

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PATENT TRADEMARK OFFICE

Zip Code

City

State

Fax

Country

Telephone

NAME (Print/Type)

Steven J. Wietrzny

Registration No. (Attorney/Agent)

44,402

Signature

Date

January 3, 2002

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## REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)  
650005.94380

## Claims as Filed - Part 1

Claims in Patent	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
			Rate	Fee	Rate	Fee	
(A) 20	Total Claims (37 CFR 1.16(j))	**** 14 =	x \$ _____ =		or	x \$ 18 = \$252	
(C) 3	Independent claims (37 CFR 1.16(i))	* 3 =	x \$ _____ =			x \$ 84 = 252	
Basic Fee (37 CFR 1.16(h))					\$ _____		\$ 740
Total Filing Fee					\$ _____	OR	\$ 1244

## Claims as Amended - Part 2

	(1) Claims Remaining After Amendment	(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	x \$ _____ =		x \$ _____ =	
Total Additional Fee					\$ _____	OR	\$ _____

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

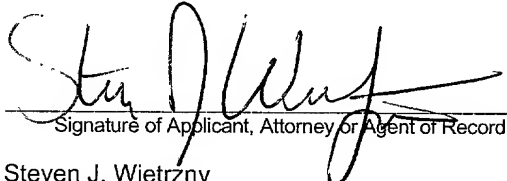
\*\*\* After any cancellation of claims.

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

☐ Applicant claims small entity status. See 37 CFR 1.27.☒ Please charge Deposit Account No. 17-0055 in the amount of \$1244.00.  
A duplicate copy of this sheet is enclosed.☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 17-0055.  
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing / additional fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

January 3, 2002  
 Date

  
 Signature of Applicant, Attorney or Agent of Record  
 Steven J. Wietrzny  
 Typed or printed name

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Bruce R. Kern, et al.Application No./Patent No.: 6,014,828 Filed/Issue Date: January 18, 2000Entitled: Combination Advertising and Warning Sign for ShelvingMenasha Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc )

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

December 6, 2001  
DateThomas V. Bender

Typed or printed name

Thomas V. Bender  
SignatureAssistant Secretary

Title

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PATENT

Express Mail Label No. EL 743811393 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assignee: Menasha Corporation  
Patent No.: 6,014,828  
Title of Invention: COMBINATION ADVERTISING AND WARNING SIGN FOR SHELVING  
Docket No.: 650005.94380

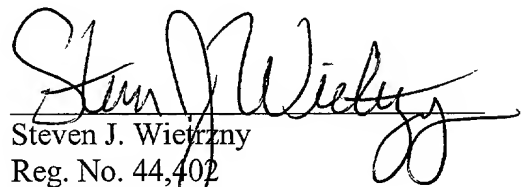
**REISSUE PATENT APPLICATION  
OFFER TO SURRENDER ORIGINAL PATENT**

Box REISSUE  
Commissioner for Patents  
Washington, DC 20231

Sir:

Menasha Corporation, the assignee of U.S. Patent No. 6,014,828, hereby offers to surrender the original ribbon patent upon the allowance of the reissue application filed herewith.

Respectfully submitted,



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